

# Security

If you are unable to provide any of the below, please address why.

## **SOC2 REPORT**

IMA, Inc. is currently undergoing a SOC2 Readiness Assessment with the goal of having SOC2 Type 2 Certification in the second quarter of 2024. In addition, our auditor has conducted an accounting and treasury focused controls assessment in 2022. No material gaps in control were identified.

## **PENETRATION TEST RESULTS COMPLETED IN THE LAST YEAR**

IMA maintains a comprehensive cybersecurity program which governs our processes around all aspects of cybersecurity and data protection. This program includes a cybersecurity policy, employee education, regulatory compliance, data protection and system hardening, as well as periodic third-party vulnerability assessments and **penetration testing**. IMA will provide additional information or engage in conversation with your CIO upon request.

## **IMA SECURITY POLICIES**

IMA maintains a comprehensive cybersecurity program which governs our processes around all aspects of cybersecurity and data protection. This program includes a cybersecurity policy, employee education, regulatory compliance, data protection and system hardening, as well as periodic third-party vulnerability assessments and penetration testing. We are completely confident in our data security practices and would be willing to provide additional information to your CIO as necessary. We have written policies and procedures regarding Cybersecurity as well as Identity Theft Protection. We rely on IMA Financial Group to continually monitor and test our procedures annually to ensure no breaches have occurred and the proper control policies are in place.

We have also implemented processes and procedures related to the management of protected health information (PHI) that comply with the requirements of the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

### **The compliance measures IMA has implemented include:**

- + Establishing appropriate administrative, physical and technical safeguards
- + Written policies and procedures for using and protecting PHI
- + Mandatory workforce training
- + Appointing a HIPAA Privacy Officer
- + Please contact IMA's privacy offices should you have any further questions regarding IMA's HIPAA compliance.

### **Contact information is listed below:**

[privacy.officer@imacorp.com](mailto:privacy.officer@imacorp.com)

## **PCI ATTESTATION**

PCI compliance is not applicable as IMA does not process, store, or transmit payment card information in the course of our business operations.